

DOCKET NO.: C1037.70045US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Arthur M. Krieg

Serial No.:

10/613,228

Confirmation No.:

4680

Filed:

July 3, 2003

For:

NUCLEIC ACID COMPOSITIONS FOR STIMULATING IMMUNE

RESPONSES

Examiner:

Nita M. Minnifield

Art Unit:

1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the day of March, 2005.

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- Supplemental Information Disclosure Statement
- International Preliminary Examination Report for PCT/US03/21113
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check is not enclosed. If any fees are required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Maria A. Trevisan, Reg. No. 48,207

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: C1037.70045US00

Date: March 1, 2005

xNDDx



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Michelle M. Quinn

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant would like to bring to the Examiner's attention the enclosed international preliminary examination report from a corresponding International or Foreign National Application.

International Preliminary Examination Report for PCT/US03/21113 (Attorney Docket No. C1037.70041WO00).

Serial No.: 10/613,228 -2 - Art Unit: 1645

Conf. No.: 4680

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless

otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information,

in reaching a determination concerning the patentability of the present claims;

2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited

information has been fully considered by the Patent and Trademark Office during the examination of this

application;

3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that

a search has been performed, of the extent of any search performed, or that more relevant information

does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that

the information cited in the Statement is, or is considered to be, material to patentability as defined in 37

C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that

the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C.

§102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own

conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Maria A. Trevisan, Reg. No. 48,207

Wolf, Greenfield & Sacks, P.C.

Musan

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Boston, Massachusetts 02210-2206

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